



MicroRNA Expression in Patients with Advanced Carotid Atherosclerosis

Anton Raskurazhev^{1*}, Marine Tanashyan¹, Alla Shabalina², Anastasia Kornilova¹, and Polina Kuznetsova¹

¹Neurological Department, Research Center of Neurology, 80, Volokolamskoye Shosse, 125367, Moscow, Russia

²Laboratory of Hemorheology and Hemostasis, Research Center of Neurology, 80, Volokolamskoye Shosse, 125367, Moscow, Russia

*Corresponding e-mail: raskurazhev.rcn@inbox.ru

ABSTRACT

Background: Carotid Atherosclerosis (AS) is a major cause of cerebrovascular pathology. Our study aimed to identify in peripheral blood the expression levels of several miRs (miR-126-(5p/3p), miR-29a-(5p/3p), miR-33a-(5p/3p), miR-21-(5p/3p)), involved in AS, in patients with advanced carotid atherosclerosis. **Methods:** Overall 50 patients (median age 66 (61; 71) years, 58% male) were enrolled in this study, and were divided into 2 sub-groups by percentage of stenosis in the Internal Carotid Artery (ICA): $\geq 50\%$ (group I) and less than 50% (group II). Clinical characteristics, comorbidities, and miRs expression level were estimated. **Results:** The expression levels of most microRNAs were statistically different between groups, with miR-126-5p/3p, miR-29-3p and miR-21-3p lower in Group I (5.7 (4.8; 6.62) vs. 9.4 (8.1; 11.8), 6.64 (5.8; 7.52) vs. 8.7 (7.55; 11.45), 8.46 (7.43; 11.4) vs. 11.4 (9.07; 15.79), and 9.31 (8.24; 11.3) vs. 11.42 (8.72; 13.98) respectively), and miR-33a-3p-higher (42.45 (41.3; 44.6) vs. 38.4 (36.5; 43.05)). A ROC-analysis was performed which showed the expression levels of miR-126-5p to have the most predictive value (AUC=0.888, with 80% sensitivity and 95% specificity, $p < 0.001$). **Conclusion:** Our findings suggest that certain microRNAs can be a potential blood biomarker of advanced carotid atherosclerosis.

Keywords: Carotid atherosclerosis, MicroRNA, Biomarkers, Atherosclerosis progression, miR expression

INTRODUCTION

Atherosclerotic disease is one of the leading causes of death worldwide. Atherosclerosis (AS) pathogenesis is a multi-layered process including inflammation, cholesterol homeostasis, and dysfunction of the endothelium. AS is characterized by the accumulation of lipids, smooth muscle cell proliferation, cell apoptosis, and necrosis [1]. Although the pathogenesis of AS is well established, new signaling molecules that control the progression of this pathology are continuously being discovered-microRNAs one of them. MicroRNAs (miRs) are a class of short (20-22 nucleotides), non-coding RNAs that affect a lot of biological pathways and are involved in the post-transcriptional regulation of gene expression. The first miR, lin-4, was discovered by the Ambros and Ruvkun groups in *Caenorhabditis elegans* in 1993 [2]. Numerous miRs are involved in biological pathways of AS, hypertension, coronary artery disease, and postoperative restenosis, cancer, metabolic disorders, neuro-degenerative disorders [3]. Despite significant progress in the understanding of how these miRNAs influence atherosclerotic plaque formation *in vivo*, many aspects of their regulation and function remain unclear. Another important issue concerns the variability of miRNA expression depending on the localization of AS. A recent systematic review outlined miRNA profile among different vascular territories affected by atherosclerosis, including ten studies in carotid atherosclerosis, but most of the studies (9 out of 10) were conducted in patients with mild carotid stenosis [4]. Advanced carotid AS, defined as 50% or more stenosis, increases the risk of cardiovascular disease and carotid lesion-derived stroke [5]. Thus, it may be beneficial to identify certain miRNA as biomarkers of advanced carotid AS. According to research a number of miRNAs (among them miR-126-(5p/3p), miR-33a-(5p/3p), miR-21-(5p/3p), miR-29a-(5p/3p) were implicated in AS development [6,7]. The

aim of our study was to identify the expression levels of several miRs (miR-126-(5p/3p), miR-29a-(5p/3p), miR-33a-(5p/3p), miR-21-(5p/3p)), involved in AS, in patients with advanced carotid atherosclerosis.

MATERIAL AND METHODS

Subjects and Clinical Evaluation

Overall, 50 people, which were admitted to the Research Center of Neurology, Moscow, Russia, were enrolled in our study. The study group included 50 patients with carotid atherosclerosis identified via ultrasound (the percentage of stenosis was measured according to European Carotid Surgery Trial (ECST) criteria), which was further divided into 2 sub-groups by percentage of stenosis in the internal carotid artery (ICA): $\geq 50\%$ (group I) and less than 50% (group II). The age median was 66 (61; 71) years, there was a slight male predominance (29 men (58%)). This study was approved by the Local Ethics Committee at the Research Center of Neurology Moscow, Russia. All subjects provided written informed consent. Body height, body weight, and waist circumference, hypertension, different site of atherosclerosis, coagulogram and biochemical panel, clinical presentation were evaluated in all patients included in the study. Patients with uncompensated chronic disorders, paraneoplastic processes were excluded from the study. Blood samples for microRNA quantification were drawn by direct venipuncture and then were collected to EDTA K3 tubes. Other laboratory data, such as biochemical and blood routine data were collected at the same time.

microRNA Extraction and Quantification

The following reagents and equipment have been used:

- Validated 20X primers for has-miR: miR-126-5p, miR -126-3p, miR -29-5p, miR -29-3p, miR -33a-5p, miR -33a-3p, miR -21-5p, miR -21-3p (ThermoFischerScientific, Waltham, USA)
- Leukocyte RNA Purification Plus Kit (NORGEN Biotec corp., Ontario, Canada)
- TaqMan™ Advanced miRNA cDNA Synthesis Kit (Applied Biosystems™, Thermo Fisher Scientific, Waltham, USA)
- Real-time CFX96 Touch amplifier (BioRaD, California, USA)

Extraction of microRNA was performed using Leukocyte RNA Purification Kit (NORGEN Biotec corp., Ontario, Canada), according to modified manufacturer protocol. Briefly: extraction was performed in 3 steps. First, 1.25 ml Eppendorf tubes were filled with 250 μ l of whole blood (EDTA K3), to each 1.25 ml RBC Lysis buffer were added; then, vortexed (10 sec) and incubated 3 min-5 min at room temperature. The samples were centrifuged (3 min, at 1,000 RPM) to pellet cells; 1.25 ml of RBC lysis buffer were added to the supernatant, and then-60 μ l of Buffer RL, finally-vortexed (lysate). Second, we passed lysate through Lysate Homogenization Column, then centrifuged (3 min, at 14,000 RPM), after which added 600 μ l of 70% ethanol. The resulting suspension (650 μ l) was added to the Single Cell RNA Column, then centrifuged (1 min, at 6,000 RPM) and added again to the Column. We added 600 μ l of Wash solution A and then centrifuged (1 min, at 14,000 RPM). The column was then treated with 70 μ l of DNase I, centrifuged (1 min, at 14,000 RPM), and incubated at room temperature (15 min). Finally, we washed with 600 μ l of Wash solution A and centrifuged (1 min, at 14,000 RPM), twice; then eluted with 10 μ l-20 μ l of Elution solution A, centrifuged (1 min, at 2,000 RPM, after which 2 min, at 14,000 RPM).

Then we took 2 μ l of previously extracted (and defrosted, when necessary) microRNA sample in a mini-Eppendorf tube and added: 3 μ l of Polly A buffer, 3 μ l of ATP, 1.8 μ l of Polly A enzyme, 10.2 μ l of distilled water. This solution was incubated at 65°C (10 min), after which we added-18 μ l of Ligase buffer, 27 μ l of PEG 800 A, 3.6 μ l of Ligation Adaptor, 9 μ l of RNA Ligase, 2.4 μ l of distilled water. This mix was incubated at 160 C for 1 hour, then the following was added: 36 μ l of 5x BufferRT, 7.2 μ l of dNTP mix, 9 μ l of Universal RT primer, 15 μ l of Enzyme mix, 20 μ l of distilled water. The solution was incubated at 85°C for 5 min (solution No 1). A second solution was then prepared using-miR Amp master mix (300 μ l), miR Amp primer mix (30 μ l), distilled water (210 μ l), of which 45 μ l were then added to 5 μ l of solution No 1.

The PCR was performed starting with the reverse transcription step. The RNA-1 program was as follows: 1 cycle-5 min at $t=95^{\circ}\text{C}$, 14 cycles-30 sec at $t=60^{\circ}\text{C}$, 10 minutes at $t=99^{\circ}\text{C}$, then Storage at $t=4^{\circ}\text{C}$. The solution then was added to 8 clean mini-Eppendorf tubes (5 μ l to each), to which 4 μ l of distilled water was added. Then, we added microRNA primers to each tube. The TaqMan master Mix solution (1:100) was then prepared using 1 μ l of TaqMan

Fast Advanced Master Mix, 100 µl of TaqMan Fast Advanced Buffer of which 10 µl were added to each tube. The RNA-2 amplification program was used: 1 cycle-20 min at t=95°C, 40 cycles 1 min at t=95°C, then Storage at=4°C. The result is the number of microRNA copies (in 5 µl).

Statistical Analysis

All statistical analyses were performed using STATISTICA 12 (StataCorp LP., College Station, TX, USA). Continuous variables are expressed as median and range. Nonparametric statistics were used to describe the difference between two independent samples (Mann-Whitney U test) and the correlation between variables (Spearman rank R). Statistical significance was defined as p<0.05. However, this pilot study does not have sufficient power to provide statistically significant results because it was designed to provide indications for future research.

RESULTS

The study group comprised patients with carotid atherosclerosis with the various extent and were subsequently divided into two sub-groups depending on the percentage of stenosis in the internal carotid artery (ICA): ≥ 50% (group I, advanced atherosclerosis) and less than 50% (group II). The relevant clinical characteristics of both the study group and sub-groups are presented in Table 1.

The most common comorbidities in both groups were hypertension and diabetes mellitus. Diabetes (median duration-4 (3; 7) years) and coronary artery disease (median duration-5 (3; 8) years) were more frequently observed in patients with more severe atherosclerosis, and stroke occurred in more than half of the cases in this group.

Table 1 Clinical characteristics of the patients with carotid atherosclerosis (study group)

Characteristic	Study group (n=50)	ICA stenosis 50% or greater (n=30) (group I)	ICA stenosis <50% (n=20) (group II)	p-value (difference between Groups I and II)	
Gender	male	29 (58%)	19 (63%)	10 (50%)	0.362
	female	21 (42%)	11 (37%)	10 (50%)	0.362
Age	66 (61; 71)	68 (61; 74)	65 (62; 69)	0.249	
BMI	27.2 (25.1; 29.7)	27 (25.5; 29.4)	27.7 (25.1; 29.7)	0.669	
Hypertension	50 (100%)	30 (100%)	20 (100%)	-	
Stroke	24 (48 %)	16 (53%)	8 (40%)	0.367	
Ipsilateral stroke	9 (18%)	9 (30%)	0 (0)	0.007	
Diabetes	19 (38%)	13 (43%)	6 (30%)	0.353	
Coronary artery disease	17 (34%)	15 (50%)	2 (10%)	0.003	

The microRNA profile was compared to a control reference group (healthy individuals) published in our paper previously (Table 2) [8].

Table 2 Comparison of microRNA peripheral blood levels in patients with CA and from the control group

microRNA	Atherosclerosis (10 ⁶ copies)	Control (10 ⁶ copies)
miR-126-5p	6.74 (5.5; 9.3)	2.24 (2.16; 2.43)
miR-126-3p	7.14 (6.27; 8.95)	2.26 (2.19; 2.44)
miR-29a-5p	28.35 (24.6; 32.4)	2.64 (2.50; 3.28)
miR-29a-3p	9.18 (7.8; 11.4)	2.67 (2.50; 3.26)
miR-33a-5p	41.55 (36.8; 46.6)	3.67 (3.16; 4.10)
miR-33a-3p	42 (37.1; 44.6)	not measured
miR-21-5p	9.3 (7.45; 11.2)	31.13 (29.48; 31.99)
miR-21-3p	9.75 (8.34; 11.6)	31.46 (29.64; 31.85)

A marked increase of all but one pair of microRNAs has been observed, with the levels of miR-21-5p/3p expression markedly lower than in control.

The analysis of miRs expression depending on atherosclerosis severity revealed a significant difference in miRs levels according to U-criteria (Table 3 and Figure 1).

Table 3 Levels of microRNA expression in patients depending on ICA stenosis

microRNA	Group I (ICA stenosis $\geq 50\%$) (10^6 copies)	Group II (ICA stenosis $<50\%$) (10^6 copies)	p-value
miR-126-5p	5.7 (4.8;6.62)	9.4 (8.1; 11.8)	<0.001
miR-126-3p	6.64 (5.8; 7.52)	8.7 (7.55; 11.45)	<0.001
miR-29a-5p	26.45 (24.6; 30.7)	29.1 (25.5; 34.65)	0.146
miR-29a-3p	8.46 (7.43; 11.4)	11.4 (9.07; 15.79)	<0.001
miR-33a-5p	42.7 (40.5; 46.6)	38.45 (36.3; 46.25)	0.06
miR-33a-3p	42.45 (41.3; 44.6)	38.4 (36.5;43.05)	0.035
miR-21-5p	9.26 (6.98; 10.5)	10.15 (7.78; 14.6)	0.21
miR-21-3p	9.31 (8.24; 11.3)	11.42 (8.72; 13.98)	0.043

The expression levels of most microRNAs were statistically different between groups, with miR-126-5p/3p, miR-29-3p, and miR-21-3p significantly lower in Group I, and miR-33a-3p-higher (Table 3, and Figure 1).

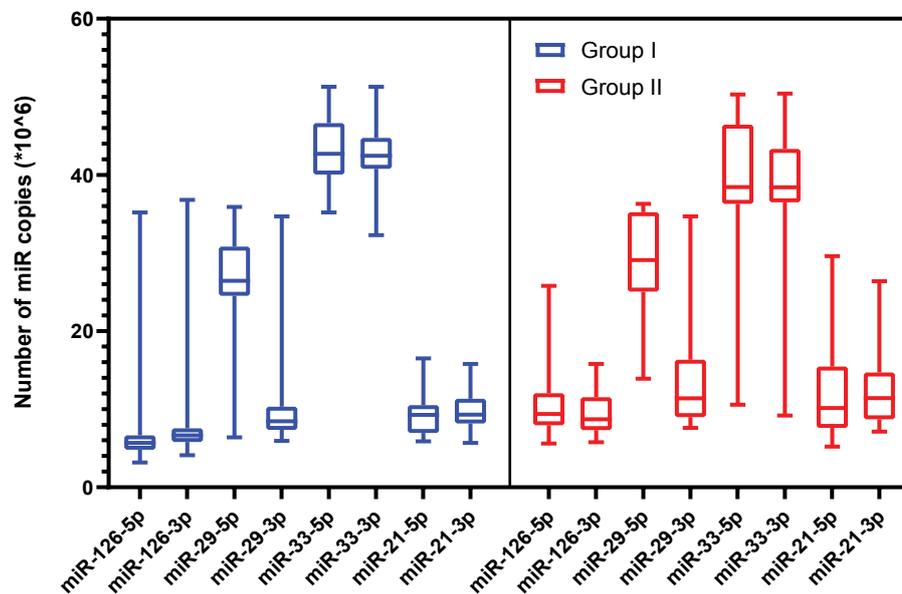


Figure 1 Expression levels of microRNAs in Group I and II patients; Box: median and interquartile range; whiskers: min-max

As seen from the Box Plot analysis most mature microRNAs which originate from opposite arms of the same pre-miRNA (denoted-5p and -3p) have roughly similar levels, except for the miR-29a-the expression of the -5p variant was three-fold higher than of its counterpart.

Routine blood tests did not show any relevant differences between the two groups (Table 4).

Table 4 Routine blood test results in patients with carotid AS

Characteristics	ICA stenosis 50% or greater (n=30) (I)	ICA stenosis < 50% (n=20) (II)	p-value
Total cholesterol, mmol/l	4.85 (4.2; 6.3)	6 (4.5; 7.6)	0.082
Triglycerides, mmol/l	1.38 (0.99; 2.04)	1.89 (1.15; 1.99)	0.534
HDL, mmol/l	1.76 (1.41; 2.28)	1.8 (1.68; 2.21)	0.85
LDL, mmol/l	1.99 (1.46; 2.74)	2.5 (1.28; 2.9)	0.645
Fibrinogen, g/l	3.44 (2.9; 4.17)	3.8 (3.33; 4.26)	0.232
APTT, sec	27.9 (26.5; 30.5)	28.6 (25.1; 29.6)	0.589

The predictive value of each parameter was assessed; an algorithm for assessing was identified. The model was checked by ROC analysis (Figure 2), the Area Under the Curve (AUC) was 0.89 for miR-126-5p (sensitivity 80%, specificity 95%, $p<0.001$), 0.78 for miR-126-3p (sensitivity 70%, specificity 80%, $p<0.001$), 0.79 for miR-29a-3p (sensitivity 90%, specificity 55%, $p<0.001$), 0.68 for miR-33a-3p (sensitivity 80%, specificity 60%, $p=0.035$).

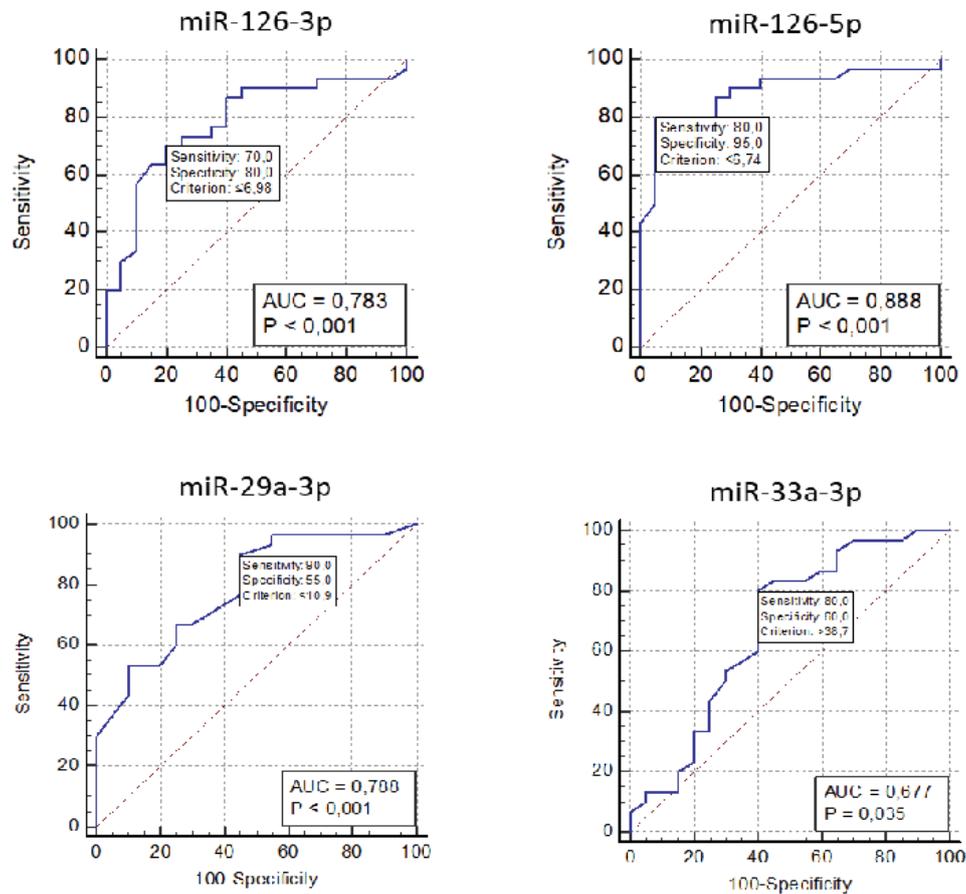


Figure 2 Receiver Operating Characteristic curve (ROC) for prediction of advanced carotid atherosclerosis based on the expression levels of miR-126-5p/3p, miR-29a-3p, and miR-33a-3p; AUC: Area Under the Curve

DISCUSSION

Carotid AS is one of the major risk factors for ischemic stroke with high levels of disability and mortality. There have been numerous studies that investigated the underlying pathological mechanisms of AS, but the molecular mechanisms and possibilities of precise biomarker diagnostics are not fully applied in clinical practice. MicroRNAs are an evolving biomarker of many physiological and pathological conditions, including atherosclerosis. A direct comparison of data from our study has shown that patients with carotid AS have a different profile of miR expression than healthy controls. The results of our study demonstrate that the expression of some miRs (in particular, miR-126-5p/3p, miR-29a-3p, miR-21-3p) was remarkably down-regulated in patients with more severe carotid AS with only one miRNA (miR-33a-3p) up-regulated.

miR-126 is an endothelial-cell-specific miRNA encoded in the intron of epidermal-growth-factor-like domain 7 that gives rise to a pair of mature miRNAs, miR-126-3p and miR-126-5p. miR-126 is selectively expressed in endothelial cells, in embryonic stem cells-derived progenitor cells, and released by adipose-derived stem cells. miR-126 showed an important role in angiogenesis and vascular inflammation regulation moreover, it is suggested that miR-126 may be critical for the development and growth of organisms as targeted deletion of miR-126 in mice causes partial embryonic lethality [9]. miR-126 negatively regulates Vascular Cell Adhesion Molecule 1 (VCAM-1), which is required for the adhesion of leukocytes to the endothelium to initiate the recruitment of factors for inflammation [10]. Lower expression of miR-126 has been found in mice with carotid atherosclerotic plaque, while its target gene vascular cell adhesion molecule-1 was significantly up-regulated, which accelerated the progression of AS [11]. Moreover, it was found out that miR-126 reduces cytokine release and also decreases the progression of AS. miR-126-5p expression is essential for the replicative response of the endothelial cell to injury, like oxidative stress, by targeting the negative

regulator of endothelial cell proliferation. Inadequate endothelium proliferation promotes lesion formation, which can be rescued by administration of miR-126 [12]. Zerneck, et al. found that the intravenous injection of miR-126 can inhibit AS in mice and reduce the formation of atherosclerotic plaques [13]. The pro-angiogenic impact of miR-126 was demonstrated by *in vivo* studies showing induction of blood vessel formation in the infarction region of the heart in a rat model of acute myocardial infarction [14]. miR-126 was found to be involved in the Mitogen-Associated Protein Kinase (MAPK) signaling pathway [15,16]. Our results seem to be corroborated by previous studies—both mature miR-126 (-5p and -3p) were expressed significantly lower in more prominent carotid AS patients. miR-126-5p also had the most predictive value of all with 80% specificity and 95% sensitivity (AUC=0.888, $p < 0.001$). These findings suggest atheroprotective functions of both miR-126 strands through different mechanisms of endothelial regeneration.

The miR-29 family, modulating mRNA level of collagen, inflammatory reaction, and other extracellular matrix genes, play a multifaceted role in tissue remodeling and vessel injury [7]. It is known that miR-29s are more highly expressed in Vascular Smooth Muscle Cells (VSMCs) than in other cell types and regulate VSMC functions, which are bound up with AS because VSMC proliferation is a major event of the AS [17]. miR-29a-3p shows a remarkable inhibitory effect on the expression of TNF α -induced adhesion molecules (VCAM-1, ICAM-1, and E-selectin) in vascular endothelial cells [18,19]. Some studies show that chronic miR-29 treatment in a well-accepted mouse model of AS increases indices of plaque stability, indicating a potential role for modulation of miR-29 to affect plaque size and composition [20]. The miRNA-29 family has been extensively studied in various pathologies, including hepatic fibrosis, cardiac fibrosis, renal fibrosis, and pulmonary fibrosis. Recently, miR-29a has gained attention as a marker for progressive cardiovascular disease, in particular-endothelial dysfunction. miR-29a-3p can ameliorate TNF α -induced endothelial dysfunction by targeting tumor necrosis factor receptor 1, which, the authors of this study suggest, leads to a conclusion that miR-29a may be a potential novel target for the early prevention of atherosclerosis [21]. Our findings are in line with these data, but statistically significant differences between groups were identified only for the miR-29a-3p variant. This is the more interesting, since its pair, miR-29a-5, was expressed nearly three-fold higher than that of its counterpart. Based on our data miR-29a-3p down-regulation can potentially be a marker in advanced carotid AS.

According to our data miR-21-3p expression was also lower in the first group. miR-21 has been suggested to regulate and promote vascular smooth muscle cell proliferation, but its role in atherosclerosis remains to be determined [22]. Yet, Wang, et al. could show prevention of in-stent restenosis by using an anti-miR-21 eluting stent in a humanized rat model [23]. Canfrán-Duque, et al. elucidated that the absence of miR-21 in macrophages results in an accelerated progression of AS, intra-plaque necrosis, and overall vascular inflammation [24]. Lack of miR-21 leads to impaired smooth muscle cell proliferation rates and enhanced apoptosis, leading to impaired vascular remodeling [25]. miR-21 has been reported as an important mediator in human hypertension, abdominal aortic aneurysm development and expansion, atherogenesis, and myocardial infarction [26]. miR-21 is described as a “mechano-miR”, responding to arterial shear stresses. Some studies have demonstrated that miR-21 might be involved in the early stages of AS in hypertensive patients and is correlated with decreased levels of nitric oxide and endothelial nitric oxide synthase [24,27].

The only miR to be up-regulated in our study was miR-33a-3p—a miR involved in lipid metabolism. It was demonstrated before that miR33 has been shown to target multiple genes involved in individual steps of cholesterol metabolism. It also has been reported that the antagonism of miR-33 in experimental animal models results in increasing serum HDL and decreasing cholesterol levels in peripheral tissues, providing atheroprotective action [28]. Fine regulation of miR-33a/b could be a promising new approach to preventing or treating cardiovascular diseases in the future. It was shown that the application of anti-miR-33 promoted increasing HDL-C through ABCA1 upregulation and led to regression of atherosclerotic plaque in LDLR-deficient mice [29]. Moreover, miR-33a-deficiency reduced the progression of AS in apoE-deficient mice. miR-33a regulates lipid homeostasis by targeting ATP-Binding Cassette transporter A (ABCA1) and Sterol-Regulatory Element-Binding Proteins (SREBP1). miR-33a played an important role in inhibiting cholesterol efflux in macrophages *via* suppression of ABCA1 expression. So miR-33a expression level can be a candidate biomarker for the early detection of AS [30].

Our study has several limitations, among which the most prominent is the small cohort size; potential for selection bias; the compromised scope of discussions. Nevertheless, a common miRNA profile for advanced carotid atherosclerosis has been identified, which may supplement existing screening opportunities and preventive measures in the setting of cerebrovascular disease.

CONCLUSION

Carotid atherosclerosis is a chronic progressive pathology which may lead to acute and chronic cerebrovascular disease, yet in itself, it is heterogenic, with different biomarker profile for various stages. In our study, we have identified promising biomarkers of advanced CA-a a certain group of microRNAs which are down-regulated (miR-126-5p/3p, miR-29a-3p, miR-21-3p) and, thus, may serve as potentially anti-atherogenic, and up-regulated (miR-33a-3p), which exhibit proatherogenic properties. Our results may be useful for supporting further investigation to select potentially useful biomarkers for clinical practice.

DECLARATIONS

Conflicts of Interest

The authors declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

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